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## Determining The Relevant Criteria For 3D Vocal Tract Characterisation

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### Abstract

#### 0.1. Introduction

Soprano singers face a number of specific challenges when singing vowels at high frequencies, due to the wide spacing of harmonics in the voice source. The varied and complex techniques used to overcome these are still not fully understood.

Magnetic resonance imaging (MRI) has become increasingly popular in recent years for singing voice analysis. This study proposes a new protocol using 3D MRI to investigate the articulatory parameters relevant to *resonance tuning*, a technique whereby the singer alters their vocal tract to shift its resonances nearer to a voice source harmonic, increasing the amplitude of the sound produced.

#### 0.2. Method

The protocol was tested with a single soprano opera singer. Drawing on previous MRI studies, articulatory measurements from 3D MRI images were compared to vocal tract resonances measured directly using broad-band noise excitation. The suitability of the protocol was assessed using statistical analysis.

#### 0.3. Results

No clear linear relationships were apparent between articulatory characteristics and vocal tract resonances. The results were highly vowel-dependent, showing different patterns of resonance tuning and interactions between variables. This potentially indicates a complex interaction between the vocal tract and sung vowels in soprano voices, meriting further investigation.

#### 0.4. Conclusion

The effective interpretation of MRI data is essential for a deeper understanding of soprano voice production, and in particular the phenomenon of resonance tuning. This paper presents a new protocol that contributes towards this aim, and the results suggest that a more vowel-specific approach is necessary in the wider investigation of resonance tuning in female voices.

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**Keywords:** MRI, Soprano, Resonance tuning, Singing

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## 1. INTRODUCTION

Resonance tuning is a technique employed by professional soprano singers (although not exclusively [1]), whereby the singer modifies the shape of their vocal tract by adjusting its moveable parts, known as articulators [2, 3], which alters the resonances of the vocal tract. When a resonance is brought close to a harmonic of the voice source the amplitude of that harmonic, and hence of the overall sound produced, is increased, an important consideration for opera singers who must regularly perform without amplification to audiences of hundreds or even thousands. Female singers are able to sing at fundamental frequencies in excess of 1 kHz, which makes analysis of vocal tract resonances from the acoustic spectrum difficult due to the wide spacing of the harmonics. Neither spectral analysis nor linear prediction (popular in speech analysis) are reliable for detecting resonances at fundamental frequencies above approximately 350 Hz [4].

To overcome these issues, methods for directly measuring the vocal tract resonances have been developed. These include excitation of the vocal tract using an external vibrator [2, 5], or by injecting a noise source, either broad-band noise [1, 5, 6, 7, 8, 9] or swept sine [10, 11], at the lips and re-recording this to produce a transfer function of the vocal tract. Analysis of vocal fry has also been used to determine the vocal tract resonances [12]. These techniques overcome the problem of widely-spaced harmonics of the voice source in the high soprano range.

In order to investigate the methods used by singers to produce these vocal tract resonances, the shape of the vocal tract can be measured directly using MRI. This is particularly useful in analysing the female vocal tract as it allows information about the articulators to be gathered over the singer's entire voice range. A number of studies have used MRI to investigate speech and singing, [11, 14, 15, 16], however there is very little research using 3D imaging to specifically investigate resonance tuning in soprano voices. Although research into the effects of various articulators on *speech* has been ongoing for over 40 years, e.g. [17, 18, 19], it cannot be assumed that the same articulatory techniques are used in singing, especially considering the specific challenges faced by sopranos when singing at very high fundamental frequencies, which lie well beyond the range of normal speech.

Two-dimensional MRI allows images to be captured in real-time which is closer to normal voice production, however images from 3D MRI, although static, allow data in the transverse as well as mid-sagittal plane to be collected over a range of pitches. This can be used to generate more accurate cross-sectional area functions [20], and allows information such as the width of the pharynx and other adjustable parts of the vocal tract (e.g. tongue) and the volume of the vocal tract to be examined over a singer's entire pitch range. With the wealth of information available from MRI, there is a danger of becoming inundated with too many variables, which could lead to any trends in the data becoming buried in variance. It is crucial therefore, to determine the most useful and meaningful measurements in reference to resonance tuning. Combining previous work concerning vocal tract characteristics related to fundamental frequency, for example tongue height and jaw opening [21] with newly available measurement techniques could identify useful avenues for exploring this type of data.

Previous studies on the singing voice using MRI include Echternach et al. [22], who used real-time 2D MRI to investigate registers in the female singing voice, considering factors including lip opening, jaw opening, tongue height, jaw protrusion, oropharynx width, and uvula elevation. In a subsequent study, Echternach et al. [23] also used a combination of real-time 2D and static 3D MRI, to investigate 3D factors including the tongue shape, the size of the piriform sinuses, and lip and jaw opening at very high fundamental frequencies. Bresch et al. [24] used real-time 2D MRI to investigate resonance tuning in 5 sopranos, and although subjects generally showed a more open mouth shape with increasing fundamental frequency, it was suggested that sopranos might not all employ the same generalisable strategies for resonance tuning as previously thought. Studies on resonance tuning, but not involving MRI, have also considered lip opening and lip spreading [25], whilst other studies on soprano singing have also considered larynx height [26, 27].

There is a precedent in this research area for studies with limited subject numbers, for example in Sundberg et al. [2] and Carlsson et al.'s [3] early work identifying resonance tuning, only one soprano was considered. Similarly, Echternach et al. used MRI to study the vocal tract of a single soprano singing at very high frequencies [23], and register changes in one tenor and one baritone [28]. Miller et al. [12] used a bass-baritone singer to compare methods of locating formant frequencies, and Delvaux et al. [11] used 1 female and 2 male singers to investigate the impact of the piriform fossae on the singing voice. Similarly, in studies on speech, Sulter et. al [29] used a single male subject to compare predicted resonances with measured values, and in Clément et. al [30], one male speaker was used to compare vocal tract resonances obtained from recorded speech with those calculated from an area function of the

vocal tract acquired using MRI.

Following on from the practices established in previous studies involving soprano singing and MRI methods, the principal aim of this study was to design and test a novel protocol to investigate the vocal tract characteristics that result in resonance tuning (rather than determining exactly how resonance tuning is affected by articulatory parameters). Measurements were taken from a single subject to test the practicalities and usefulness of this protocol, which combines direct measurements of vocal tract resonances with 3D MRI imaging, drawing on parameters identified in previous studies [22, 24, 25, 26]. Another contribution of this study is that by using 3D MRI, it obtains transverse measurements in addition to the mid-sagittal plane information reported in previous studies, as well as providing methods for quantitative analysis using this data.

## 2. METHOD

In this study a single professional singer was asked to phonate vowel sounds across her entire vocal range, both in an MRI machine, and in an anechoic chamber, where the MRI tasks were repeated and measurements of her vocal tract resonances were taken.

### 2.1. Subject

The singer used in this study was a mezzo-soprano, International Opera Principal, scoring 2.1 on the Bunch-Chapman scale [31]. She was 57 years old, and indicated a normal singing range of approximately two and a half octaves, from G3 to D6.

### 2.2. Resonance detection

A method initially developed by Epps et al. [6], and used by others including Henrich et al. [1], Dowd et al. [7], Joliveau et al. [8], and Garnier et al. [9], was used to measure the resonances of the vocal tract. This consisted of exciting the vocal tract at the mouth with a synthesised broad-band signal, while also recording the response with a lavalier microphone placed at the subject's mouth (see Figure 1). The experimental set-up for this study is identical to that presented in [13], and is shown in Figure 1.

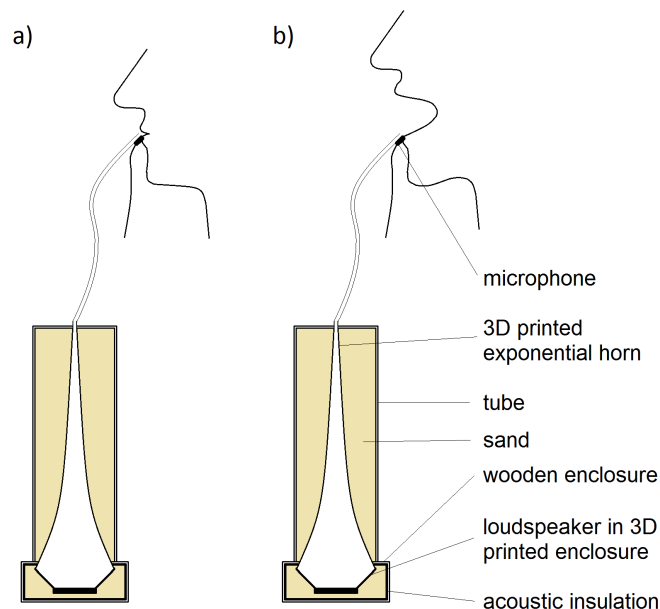


Figure 1: The equipment used to simultaneously play and record a signal at the subject's mouth using a 3D-printed impedance-matching horn and a microphone. The impedance-matching horn is encased in a wooden enclosure filled with sand. The flexible tubing allows the subject to position the acoustic source and microphone on their bottom lip. From [13]

The device was held by the subject, touching their bottom lip. The excitation signal used consisted of harmonics spaced 5.38 Hz apart, from 250 Hz to 3500 Hz, with phases adjusted to improve the signal-to-noise ratio [32].

First, a calibration procedure was carried out. This involved measuring the pressure response at the mouth with the subject's mouth closed ( $P_{closed}$ ), and adjusting the amplitudes of the frequency components to make the signal strength of the microphone at the subject's mouth independent of frequency. The amplitude of each frequency component in the input signal was adjusted so that when the signal was recorded with the subject's mouth closed, they became equal.

This calibrated signal was then used as the excitation signal for the measurements taken while the subject sang the required note, ( $P_{open}$ ). Because the source approximates an ideal current source [6], the ratio  $P_{open}/P_{closed}$  therefore measures the ratio of the impedance of the vocal tract to that of the radiation field (see [1]). The spectrum of the signal recorded at the subject's mouth therefore shows the harmonics of the voice source superimposed on an approximate transfer function of the vocal tract. An advantage of this method is that in addition to being reliable for measuring vocal tract resonances, it also allows the subject to sing normally while the measurement is taken. The average amplitude of the excitation signal was 84.75 dB, which introduced sufficient acoustic energy to get a reliable resonance measurement whilst still being low enough to allow the subject to hear themselves, to cause minimal interference.

### 2.3. Experimental Procedure

Before the experiment, the singer was asked to answer a questionnaire, concerning details of their singing experience and training. She was also asked to describe the technique she employed to sing vowels at the top of her range, and if she were aware of any differences in technique or performance when singing in a supine position.

The subject was also asked to complete consent forms for all parts of the experiment, and a safety check list for the MRI scan. Prior ethical approval was gained from the Physical Sciences Ethics Committee at the University of York.

#### 2.3.1. Part 1

The first part of the procedure involved taking MRI scans of the subject. Once positioned in the MRI machine, the vocal tract was first scanned as the subject maintained a neutral vocal tract shape, described as “a relaxed neutral shape, with your mouth slightly open, breathing normally”. She was then asked to phonate notes on three different vowels, at 7 pitches (shown in table 1). Before each scan a recording of the target note played on a piano was played over the intercom. The scan duration was 16 seconds per note, which required the singer to maintain the shape of her vocal tract during this time, with a target phonation time of 16 seconds. The MRI machine used was a GE 3 Tesla HDx Excite MRI scanner, based at York Neuroimaging Centre (YNiC). After the MRI scan, the subject was encouraged to take a break with food and drink as required, before proceeding to the second part.

Vowel	/a/	/u/	/i/
C4		✓	
E4	✓	✓	✓
G4	✓✓	✓	✓
C5	✓	✓	✓
E5	✓	✓	✓
G5	✓	✓	✓
A#5	✓	✓	✓
C6	✓(poor quality)		✓

Table 1: The fundamental frequencies investigated for each vowel sound.

The highest fundamental frequency investigated for the /a/ vowel (C6) was discarded due to poor quality. The G4 measurement for the same vowel was initially thought to be of poor quality and repeated, but later found to be adequate and included in the study.

### 2.3.2. Part 2

The second part of the procedure was carried out in a fully anechoic chamber, to obtain clean audio recordings of the same sounds over a greater range of pitches, without the presence of MRI noise. The singer was asked to lie supine on a foam-covered board, and wear headphones playing recorded MRI noise whilst singing (not audible on the recording), to simulate the conditions in the MRI machine. The singer was first asked to sing individual notes, each on one breath, in an ascending chromatic sequence (12 notes per octave) from C4 to the top of their range, singing into the wide-band vocal tract measuring device (see section 2.2). The singer was required to hold each note for approximately 6 seconds, and each note was given on an electric piano before it was sung. This was then repeated on each vowel sound (/a/, /u/ & /i/). Subjects were asked to sing in their “normal performance voice”, keeping their mouth shape constant for the duration of each measurement and at a medium level. They were reminded if necessary during the tasks. Notes were only repeated if the measurement was insufficient or if the subject failed to maintain the note until the end of the measurement.

## 2.4. Analysis

### 2.4.1. Mid-sagittal MRI measurements

The images obtained by MR imaging were imported into ITK-snap [33], and the “annotation” tool was used to directly measure the dimensions of the vocal tract in the mid sagittal plane. After Echternach et al. [22], the parameters measured were (a) lip opening, (b) jaw opening, (c) height of tongue dorsum, (d) jaw protrusion, (e) oropharynx width, and (f) uvula elevation. In addition to these, the (g) oropharynx breadth (perpendicular to (e)), (h) larynx height, (i) lip spreading, and (j) vocal tract length (the length of the midline of the vocal tract calculated with the area function - see section 2.4.2), were measured. The larynx height was measured by taking the distance of the larynx to a fixed point (the collarbone) for all sung notes and the “neutral” position, then subtracting the distance for the neutral position. The mid-sagittal measurements are shown in Figure 2.

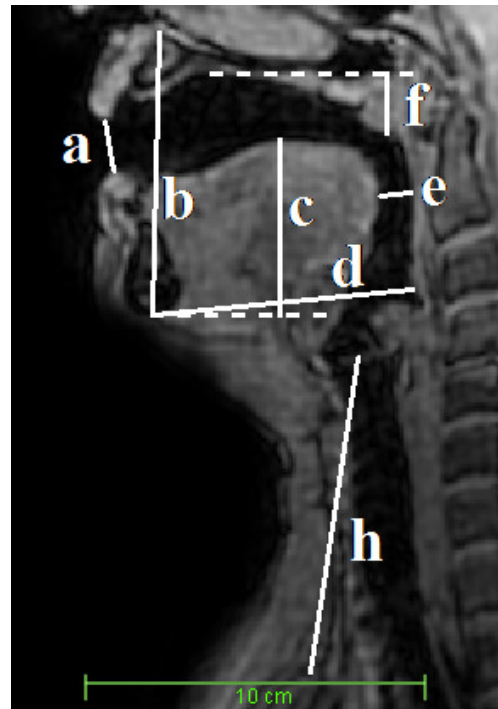


Figure 2: 2D MRI measurements: (a) lip opening, (b) jaw opening, (c) height of tongue dorsum, (d) jaw protrusion, (e) oropharynx width, (f) uvula elevation and (h) larynx height. ((g) oropharynx breadth, (i) lip spreading, and (j) vocal tract length not shown. Figure after [22].

#### 2.4.2. Generation of 3D Area Function

Using ITK-snap, the airway was segmented to produce a 3D vocal tract volume, and the radiation dome removed. This segmentation was then imported into Paraview [34], and exported as a list of 3D points on the surface of the vocal tract, as well as connectivity data for the points to be loaded into Matlab [35] for analysis. The  $x$  direction was defined as transverse (left-right), the  $y$  direction as anterior-posterior (front-back), and the  $z$  direction as superior-inferior (up-down). All measurements were taken in mm.

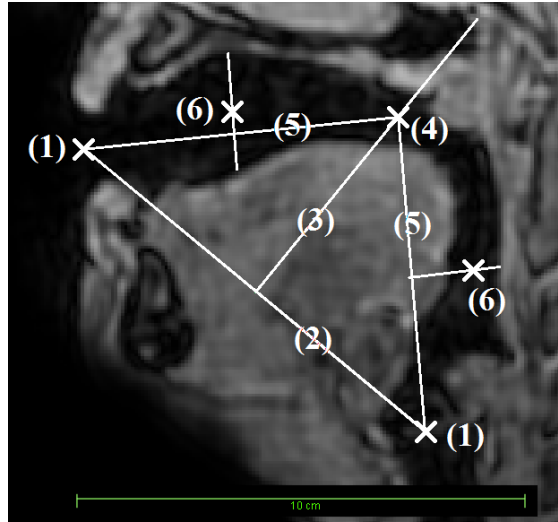


Figure 3: Illustration of the algorithm to determine slicing of vocal tract.

The start (glottis) and end (mouth) of the vocal tract were manually defined by the researcher, labelled as (1) on Figure 3, and then following an algorithm originally developed to analyse upper airway geometry and volume with regard to sleep disorders [36], and adapted to generate a 2D area function from a mid-sagittal slice [37], the area function was calculated using an iterative bisection algorithm: Firstly the line joining the start and end of the vocal tract was calculated (2), and then a plane was defined at the midpoint of this line, normal to it (3). The intersection of this plane with the vocal tract was found, and its area and centre were then calculated (4), and the centre stored as a point on the midline of the vocal tract. This process was then repeated between the start of the vocal tract, and the midpoint, and between the midpoint and the end, “slicing” the vocal tract into quarters (5), and again finding the areas and midpoints of these intersections (6).

This slicing could then be repeated (slicing into eighths, sixteenths etc.) to produce a vocal tract cut into  $2^n$  parts. The areas of the start and end points were also included, with the first “slicing” plane defined as horizontal ( $x - y$ ), and the last as vertical ( $x - z$ ). This yielded an area function of  $2^n + 1$  slices, and in this study,  $n$  was chosen to be 5, giving 33 slices in total. This was found to provide a sufficient level of detail for analysis, while not taking an excessively long time to calculate. An example of the 3D vocal tract mesh, with the planes used to slice it is shown in Figure 4(a), and the area function generated by this in Figure 4(b).

A number of restrictions were implemented in this procedure to make the process more reliable; firstly the  $x$  component of the centre of each area slice was restricted to the midpoint of the previous and following  $x$  components. In addition to this, the “slicing” plane was forced to face forwards, ( $x$  component of the normal made zero), to reduce the likelihood of areas overlapping with the previous or following ones.

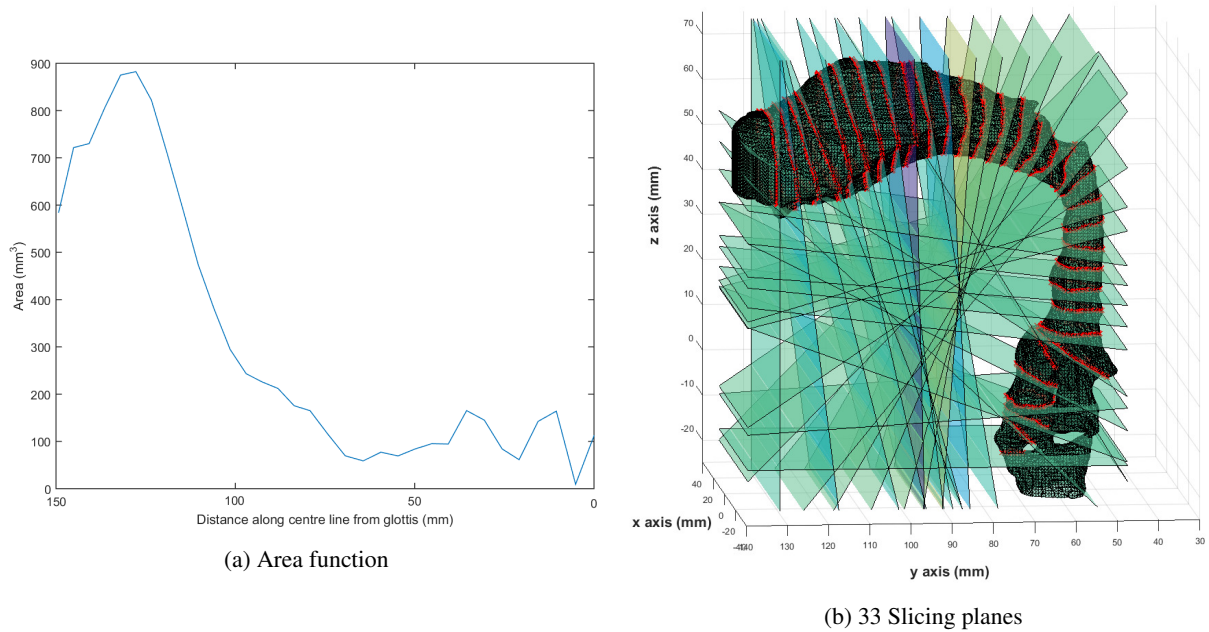


Figure 4: An example of an area function generated (left), and the planes used to generate it (right).

Some difficulty was encountered in analysis due to the piriform fossae, as in some cases, the intersection of the vocal tract with the “slicing plane” produced more than one area. If there was more than one separate area identified, then the most central one was chosen, and its area calculated. Due to the slight asymmetry of the piriform fossae however, this meant that occasionally one (or part of one) of them was included in the area (as it was not quite separate from the main area of the vocal tract), while one was discarded. This led to some error in the measurements of cross sectional area, in the region around 1–2 cm from the glottis. An example of this is shown in Figure 5. For the same measurement as Figure 4, the 4th plane from the glottis slices through three separate areas (Figure 5(a)), however the 6th plane (Figure 5(b)) only identifies 2 areas.

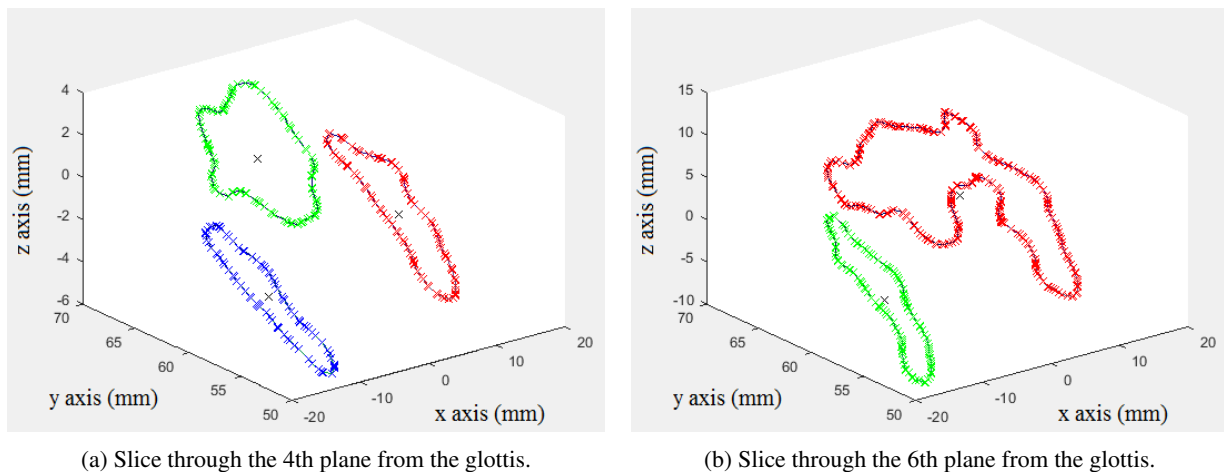


Figure 5: An example of two slices through the vocal tract used to generate the area function; the 4th and 6th slices.

Although the resonances of the vocal tract could be calculated directly from the area functions generated from MRI images, this would not take into account effects such as the radiation impedance at the subject’s mouth, or the



wall compliance within the vocal tract. Since the resonance measurements made in this experiment (using broad-band noise excitation) measure the resonances directly, they can be assumed to be taking these effects into account.

#### 2.4.3. Resonance tuning measurements

The lead author manually determined the frequencies of the vocal tract resonances, from the broad peaks in the plots of  $P_{open}/P_{closed}$  against frequency. An example plot of  $P_{open}/P_{closed}$  against frequency is shown in Figure 6. As in previous work [1, 9, 13], these measurements were then cross-checked by another researcher. In some cases it was not possible to accurately identify the vocal tract resonances, especially for closed vowels or when the subject did not remain completely still whilst singing<sup>1</sup>, and these measurements were omitted from the results.

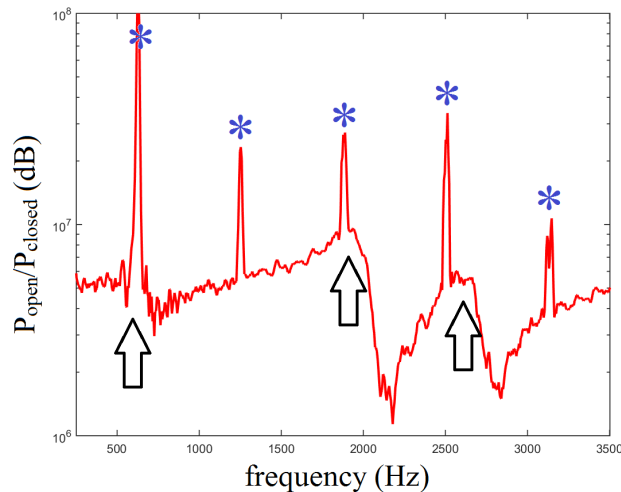


Figure 6: A plot of  $P_{open}/P_{closed}$  against frequency for an /u/ vowel. The first five harmonics are marked with asterisks, and the first three resonances are marked with arrows

### 3. RESULTS

#### 3.1. 2D MRI measurements

All the MRI measurements, fundamental frequencies, and the measurements of the first and second resonances of the vocal tract ( $R_1$  and  $R_2$  respectively) while the singer was singing supine in the anechoic chamber, were imported into MATLAB [35], for statistical analysis. The linear correlations between all the MRI measurements and  $R_1$  and  $R_2$  were calculated, and a correlation matrix was generated (see Figure 7). The results that were not significant at the 5 % level were omitted from the matrix. Significant positive correlations are represented as striped, while significant negative correlations are represented as dark grey. The raw data (MRI measurements) associated with this experiment is available on-line, see [38].

The correlation matrix showing correlation between all the MRI measurements and  $R_1$  and  $R_2$  can be seen in Figure 7. The only correlation consistent across all three vowels is a significant positive correlation between (a) lip opening and (b) jaw opening, which is expected, as both these measures describe the degree of openness of the singer's mouth.

For the /a/ vowel there were no significant correlations between the fundamental frequency and any of the other measurements. The first and second resonances only showed a significant correlation with each other, and not with any of the other variables. The only other significant correlations were between (c) tongue dorsum and (i) lip spreading, and between (e) oropharynx width and (g) oropharynx breadth.

<sup>1</sup>In some cases this could be identified by observing the subject, however movement of the subject also produced a characteristic error in the measurement, which allowed this to be detected

f0															
(a) lips															
(b) jaw opening															
(c) tongue dorsum															
(d) jaw protrusion															
(e) oropharynx width															
(f) uvula elevation															
(g) oropharynx breadth															
(h) larynx height															
(i) lip spreading															
(j) VT length															
R1 supine															
R2 supine															
f0															
(a) lips															
(b) jaw opening															
(c) tongue dorsum															
(d) jaw protrusion															
(e) oropharynx width															
(f) uvula elevation															
(g) oropharynx breadth															
(h) larynx height															
(i) lip spreading															
(j) VT length															
R1 supine															
R2 supine															

(a) /a/ vowel

f0															
(a) lips															
(b) jaw opening															
(c) tongue dorsum															
(d) jaw protrusion															
(e) oropharynx width															
(f) uvula elevation															
(g) oropharynx breadth															
(h) larynx height															
(i) lip spreading															
(j) VT length															
R1 supine															
R2 supine															
f0															
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(g) oropharynx breadth															
(h) larynx height															
(i) lip spreading															
(j) VT length															
R1 supine															
R2 supine															

(b) /u/ vowel

f0															
(a) lips															
(b) jaw opening															
(c) tongue dorsum															
(d) jaw protrusion															
(e) oropharynx width															
(f) uvula elevation															
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(i) lip spreading															
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(f) uvula elevation															
(g) oropharynx breadth															
(h) larynx height															
(i) lip spreading															
(j) VT length															
R1 supine															
R2 supine															

(c) /i/ vowel

Figure 7: Correlation matrices for all variables, with non-significant results (at 5% level) removed. Positive correlations are represented as striped, while negative correlations are represented as dark grey.

For the /u/ vowel, a great deal more correlation between variables was seen than for either of the other two vowels (45/78 significant correlations, compared to 4/78 for /a/, and 12/78 for /i/). The fundamental frequency showed a positive correlation with (a) lips, (b) jaw opening, (f) uvula elevation, (i) lip spreading,  $R_1$  and  $R_2$ . A negative correlation was seen between fundamental frequency and (d) jaw protrusion, (g) oropharynx breadth, and (j) vocal tract length.

Although the tongue position is generally accepted to affect the position of  $R_2$  [21], for this subject there was no linear correlation between the tongue dorsum and any other variable.

$R_1$  and  $R_2$  both show correlation with several other variables, which are the same except for the addition of (d) jaw protrusion for  $R_1$ . Not surprisingly both resonance measurements show a negative correlation with the (j) vocal tract length, supporting the acoustic principle that shortening a pipe raises the frequencies of its resonances.

The /i/ vowel shows less correlation overall than the /u/ vowel, but a little more than the /a/ vowel. Contradictory to the results for the /u/ vowel, the  $R_1$  and  $R_2$  measurements show completely different correlations; although they both correlate with fundamental frequency, which is positive for  $R_1$ , and negative for  $R_2$ .

$R_1$  and  $R_2$  showed correlation with several variables for both the /u/ and /i/ vowel, although not always in the same way. For example for the /u/ vowel,  $R_2$  showed a positive correlation with lip spreading, whilst for the /i/ vowel it had a negative correlation with this variable.

### 3.2. Area functions

The area functions were grouped by vowel and then plotted on the same axes (see Figure 8), to allow patterns in the data to be seen.

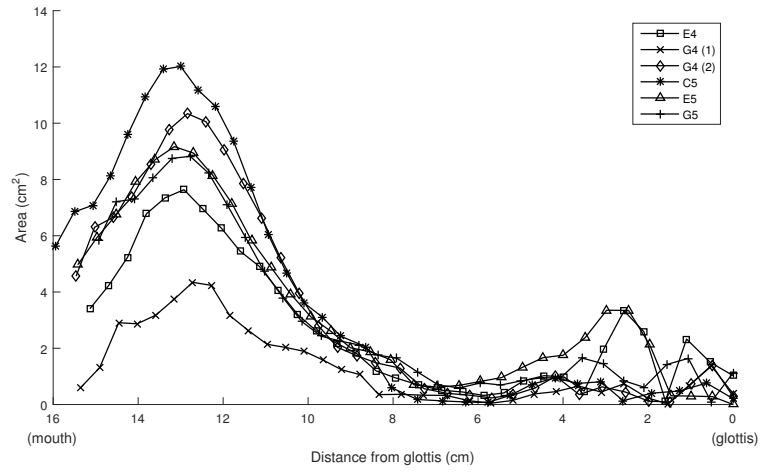
The area function for the /a/ vowel is characterised by an approximately bell-shaped vocal tract: narrowing to approximately 1 cm<sup>2</sup> around 6–7 cm from the glottis (around the back of the tongue), then opening out around 13 cm, before narrowing at the mouth. Although the extent of mouth opening varied for different fundamental frequencies (between 4 and 12 cm<sup>2</sup>), there did not appear to be any relationship between fundamental frequency and mouth opening.

Interestingly, for the /u/ vowel, the lower pitches show a large space of about 8 cm<sup>2</sup> around the pharynx (approx. 5 cm from the glottis), which then decreases to a very small cross-sectional area around 12 cm from the glottis, and then opens up a little before a final restriction at the mouth. For the higher fundamental frequencies the shape is very similar to the /a/ vowel, with a narrowing around 6 cm, then a large opening up to approximately 14 cm<sup>2</sup>, before a slightly smaller mouth area. At certain points along the vocal tract there appears to be a relationship between the cross sectional area and the fundamental frequency. For example at around 5 cm from the glottis the lowest fundamental frequency has the highest area, and the highest fundamental frequency the lowest area. The opposite effect is seen at 13 cm from the glottis, where the highest fundamental frequency has the lowest area, and vice versa. A noticeable shortening of the vocal tract is also seen with increasing fundamental frequency, possibly due to the corners of the mouth being pulled back, changing its effective length.

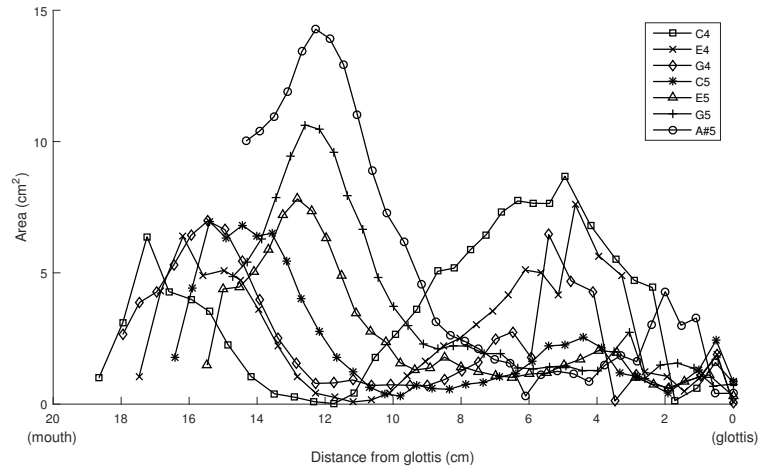
The same patterns between the cross-sectional area and fundamental frequency are also seen for the /i/ vowel; at the mouth, where the lowest fundamental frequencies had the lowest cross-sectional areas; 4–6 cm from the glottis (pharynx), where the lowest fundamental frequencies had the highest areas (approximately 6 cm<sup>2</sup>); and with the shortening of the vocal tract with increasing fundamental frequency.

### 3.3. Resonance Measurements

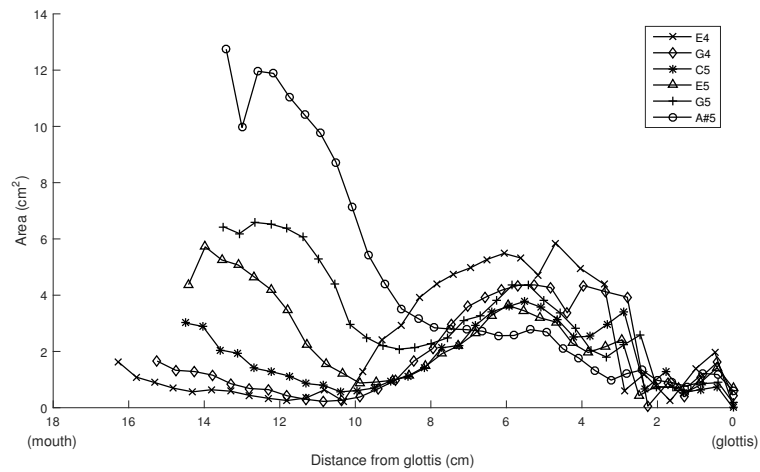
A plot of the measured resonances for each vowel is shown in Figure 9, with  $R_1$  represented as filled circles, and  $R_2$  as open circles. These results are summarised in Figure 10, which shows the range and extent of both  $R_1 : f_o$  and  $R_2 : 2f_o$  tuning over the range of fundamental frequencies investigated, to within 70 Hz (in grey, after [13]), and “tighter” resonance tuning, to within 25 Hz (in black, after [1, 9]).



(a) /a/ vowel



(b) /u/ vowel



(c) /i/ vowel

Figure 8: Area functions for all pitches, for (a) the /a/ vowel, (b) the /u/ vowel, and (c) the /i/ vowel.

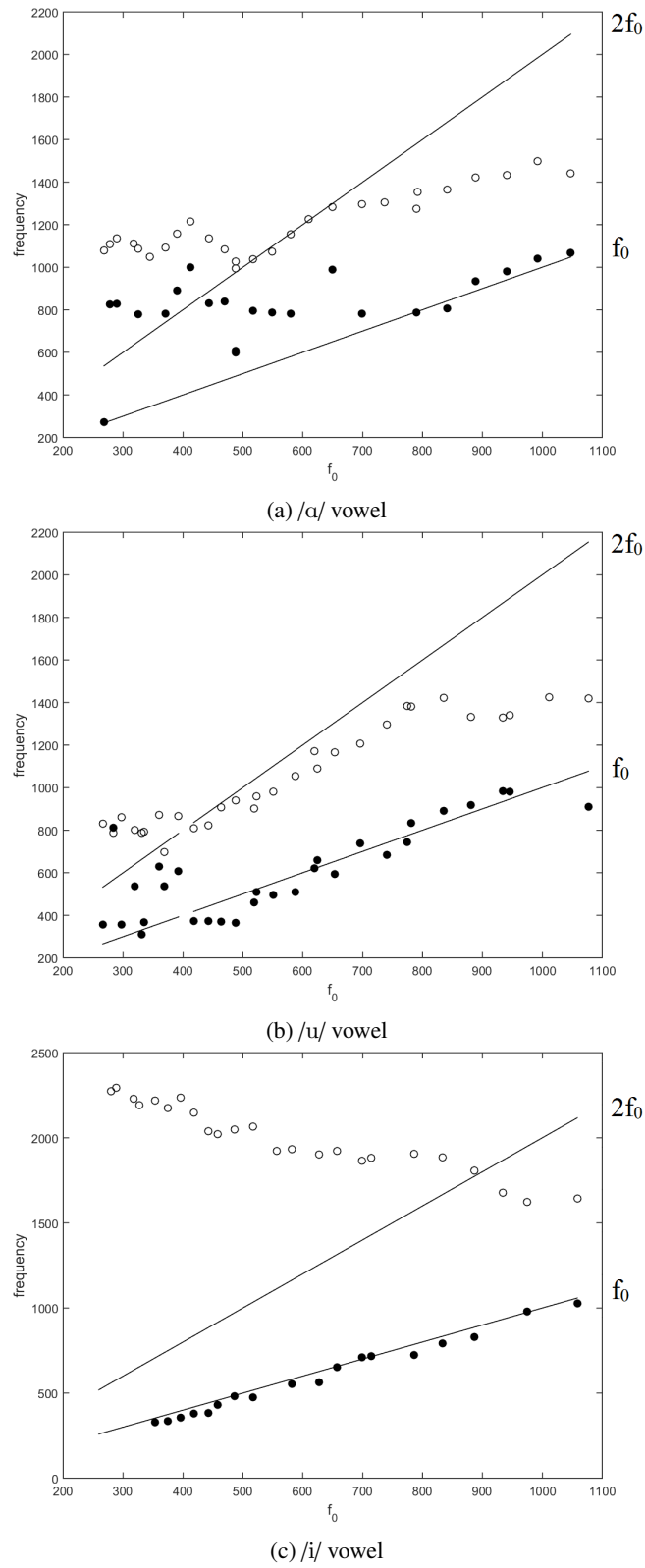


Figure 9: Measurements of  $R_1$  (filled circles) and  $R_2$  (open circles) against fundamental frequency for all pitches, sung in the anechoic chamber, in the supine position, for the (a) /a/ vowel, (b) /u/ vowel, and (c) /i/ vowel. The solid lines show the first and second harmonics.

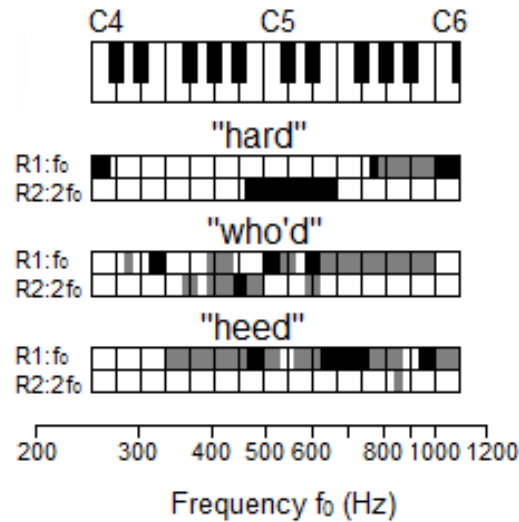


Figure 10: Shaded boxes show resonance tuning for each vowel, to within 70 Hz (grey) or 25 Hz (black). The top line for each vowel shows  $R_1 : f_0$  tuning, bottom line  $R_2 : 2f_0$

The measurements of  $R_1$  appear very scattered for the /a/ vowel, especially towards the bottom of the singer's range, with  $R_1 : f_0$  tuning only seen in approximately the top third of fundamental frequencies investigated.  $R_2 : 2f_0$  tuning was observed only briefly, just below the middle of the range of fundamental frequencies investigated.

For the /u/ vowel,  $R_1 : f_0$  tuning was seen over nearly the entire range of fundamental frequencies investigated, albeit only "loosely" (to within 70 Hz of  $f_0$ ). Over some of this range  $R_2 : 2f_0$  tuning was observed in conjunction with  $R_1 : f_0$  tuning, ceasing at approximately D#5 (622 Hz)

The resonance measurements for the /i/ vowel appear to follow very clear patterns;  $R_1 : f_0$  tuning was seen over the entire fundamental frequency range, and  $R_2$  descended as the fundamental frequency increased. The resonance measurements for this vowel show the least scattering of all three vowels investigated.

### 3.3.1. Summary of results

A summary of the 2D measurements and their correlations, area functions, and resonance measurements for each vowel is shown in Table 2.

Analysis	/a/	/u/	/i/
2D MRI measurements	Little correlation seen (4/78 pairings), no correlations with $R_1$ or $R_2$ .	Great deal of correlation (45/78 pairings), correlations with $R_1$ and $R_2$ similar.	Some correlation seen (12/78 pairings), different correlations with $R_1$ and $R_2$ .
Area functions	Bell-shaped curve, no pattern with fundamental frequency.	Higher fundamental frequencies show larger mouth opening, smaller pharynx space, shortening of the vocal tract.	
Resonance measurements	$R_1 : f_0$ tuning seen at top of range, $R_2 : 2f_0$ tuning in the middle of the range.	$R_1 : f_0$ across most of range, small amount of $R_2 : 2f_0$ tuning in the middle of the range.	$R_1 : f_0$ tuning across wide range, no $R_2 : 2f_0$ tuning but $R_2$ showed strong negative correlation with $f_0$ .

Table 2: A summary of the 2D MRI measurements, area functions, and resonance measurements.

## 4. DISCUSSION

Different levels of correlation between variables (none for the /a/ vowel, many for the /u/ vowel, and  $f_0$ , (d) jaw protrusion, and (i) lip spreading for the /i/ vowel) with  $R_1$  and  $R_2$  indicate not only that this singer used different

techniques to produce different vowels, but also that the effect of changing one variable would depend on the vowel sung. For instance, for the /u/ vowel,  $R_2$  showed a positive correlation with lip spreading, however for the /i/ vowel it had a negative correlation with this variable.

Considering the other correlations for the /a/ vowel, a correlation between the width and breadth of the oropharynx may imply a causal relationship. However, the correlation between the lip spreading and tongue dorsum seems less likely to be due to a causal relationship between these two variables, and these factors may both be dependent on a third factor.

The only variables not showing correlation with resonances for any vowels were the (c) tongue dorsum, (e) oropharynx width, and (h) larynx height, which could suggest that these variables are not of interest when considering resonance tuning in one of the three vowels investigated, however data from additional subjects would be required to verify this.

#### 4.1. Difficulty

It is noted [41] that singers generally find the /a/ vowel the easiest and most natural to sing, as it does not require the extreme vocal tract adaptations required for the /i/ vowel (which is generally found difficult to sing, especially at high pitches), and this may be a reason for the lack of correlation between variables seen for the /a/ vowel.

The singer commented before the experiment that she used “the same technique for all vowels but /i/ was the hardest”, whereas after the experiment she said that she “found /a/ the hardest to sing high up, whereas it would normally be /i/”. This theory is supported by the area functions for the /a/ vowel; there may be no clear dependence of mouth opening on pitch seen for the /a/ vowel because the singer does not need to make a special effort to produce this vowel, unlike for the more difficult vowels, /u/ and /i/, which showed a clear pattern.

The pattern in resonance measurements for the /i/ vowel could also be linked to difficulty. Even though  $R_2$  is not strictly tuned, it shows a clear relationship with fundamental frequency; the resonance measurements for the /i/ vowel show the least variation out of all three vowels. Singers typically find an intelligible /i/ at a high fundamental frequency very difficult to sing, as it requires a very closed mouth shape which limits the amplitude of the sound produced. Producing a loud but intelligible /i/ must therefore be a trade-off between these two perceptual attributes. This could mean that the stricter acoustic requirements for producing an /i/ vowel means that there is less room for variation in technique, so unlike the /a/ vowel, even when in an unusual situation, the vowel is still produced in a very consistent fashion.

#### 4.2. Jaw and Tongue

$R_1 : f_0$  tuning was seen over a wide range of fundamental frequencies for the /u/ and /i/ vowels, which may be due to the larger mouth area observed with increasing pitch, supporting the theory that jaw opening lowers  $R_1$  [21]. Surprisingly, however, the correlation matrices did not show a correlation between fundamental frequency and jaw opening for the /i/ vowel, which is suggested from the area functions. This may be due to insufficient data to produce statistical significance, or a non-linear relationship (see section 4.4).

Before the experiment, the singer said she was unaware of changing her technique when lying down, and described her technique for singing high notes as “relaxed jaw and lifted palate. Firm support from pelvic area (tilt pelvis forward and unlock knees)”. This suggests that there should be a correlation between fundamental frequency and jaw opening, however this was only seen for the /u/ vowel, and not for the other two vowels investigated. When asked if she was aware that she made changes to the shape of her vocal tract when singing high notes, she said that she also “brought her tongue forward and down as she sung higher”. However no correlation between fundamental frequency and tongue dorsum was seen for any of the vowels.

Although the tongue position is generally accepted to affect the position of  $R_2$  [21], for this subject for the /u/ and /i/ vowels, there was no significant correlation (at the 5% level) between the tongue dorsum and any other variable. This suggests that this particular singer did not make use of this technique during this experiment, however it cannot be known whether this reflects her usual technique, only her performance during this investigation. After the experiment she commented that in the MRI scanner she was very aware of her jaw being “tense”, and that she felt her tongue “was further back than normal”. It is possible that the effects of lying down in the scanner due to the altered effects of gravity [39, 40], and the restrictive position, impeded her normal vocal tract adjustments, possibly stopping her from using her tongue to tune her second resonance where she would normally have employed this technique.

#### 4.3. Convergence of area functions at high fundamental frequencies

The area functions of the highest fundamental frequencies are similar for all three vowels, which agrees with the idea that singers make use of similar vocal tract positions across vowels at the top of their range [41].

The area functions for these two vowels also suggest a relationship between space around the oropharynx and fundamental frequency, however the only correlation between oropharynx measurements and fundamental frequency occurs for the /u/ vowel, when it correlated with (g) oropharynx breadth, and also  $R_2$ .

Results for /u/ and /i/ vowels agree with the findings by Bresch et al. [24], who observed (from mid-sagittal images) that “the front cavity opens more widely as the singer goes to higher fundamental frequencies”.

However they observed this behaviour across all 5 vowels investigated (/a/ /e/ /i/ /o/ /u/), which may suggest that the singer in the current study is exhibiting atypical behaviour; either she has an unusual technique, or she was unable to use her normal techniques due to the restrictions of the MRI machine.

#### 4.4. Non-linear effects

This study has only examined *linear* correlations between variables, and *non-linear* relationships may exist. Based on the acoustic properties of standing waves in tubes, it was expected that a simple linear relationship would be seen between the vocal tract resonances and factors that cause shortening/lengthening, or constriction/expansion of the vocal tract, such as the jaw opening, tongue height or larynx height. The larynx height, however, followed a similar pattern for all three vowels (see Figure 11); increasing at first with fundamental frequency, but then remaining approximately constant across the top half of the fundamental frequency range investigated. This does not agree with previous studies [26, 27], which found that for sopranos, the larynx generally rose with fundamental frequency in the upper/top part of the range, although differences were seen between individual singers.

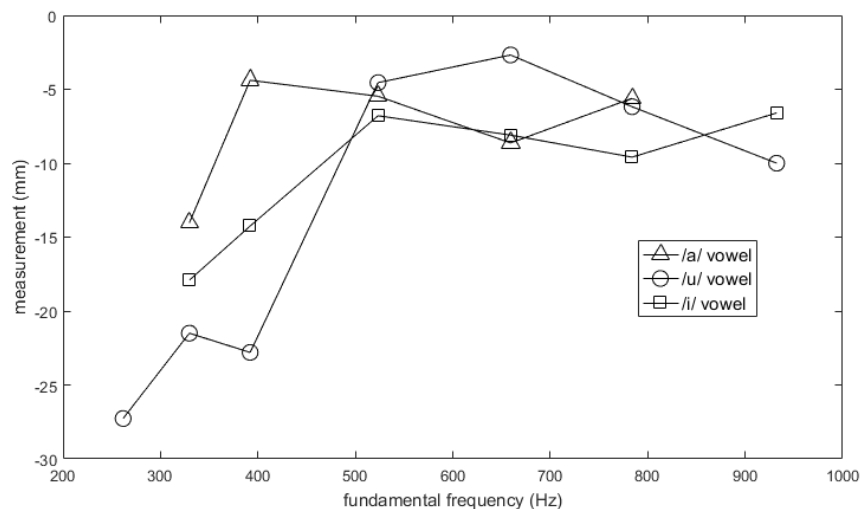


Figure 11: Larynx heights against fundamental frequency, for each vowel.

It may be necessary to consider the interplay between variables more carefully, for example the vocal tract length depends on both the larynx height, as a higher larynx shortens the vocal tract, and the lip spreading, as when the corners of the mouth are pulled back, this also effectively shortens the vocal tract.

#### 4.5. Limitations

There are a number of limitations to be considered in this study, which mostly arise from the conditions necessary for MRI; firstly the singer must be supine, and was strapped to a board and unable to move for the duration of each measurement, and was required to sustain each note for an unnaturally long time. All of these factors are unnatural for the singer, and could possibly have an unknown effect on the measurements obtained.

Only a single subject was used in this study, which makes it difficult to separate out individual habits from general trends. However choosing a highly trained professional singer alleviates some of these concerns; they are likely to



be very reliable in their technique, so repeat measurements may not be necessary. It should also be remembered that opera involves acting as well as singing, so professional opera singers are also used to singing in unusual situations, including supine, so although this is not standard practice, it may not be entirely unusual.

Although this study used a single subject, to test a suitable protocol for identifying resonance tuning techniques using 3D MRI measurements, the results from this one subject are interesting in themselves, as they provide a detailed insight into the movement of the articulators of a very high quality singer (2.1 on Bunch-Chapman taxonomy [31]). It is not uncommon for studies on the singing voice to use very few subjects [2, 22, 28, 29], however future work will expand this study to include more singers of similar voice type and experience, which will allow more robust statistical analysis and investigation into the similarities between individuals.

To more completely identify the relevant parameters for vocal tract characterisation, in reference to resonance tuning, it may be necessary to introduce more variables such as the volumes of particular parts of the vocal tract (for example the pharynx), or more measurements in the transverse plane. It should also be noted that the resonance frequencies may be influenced by other factors not considered in this study, such as the wall compliance of the vocal tract, however it is the large articulators such as the jaw and tongue that have the greatest effect on vocal tract shape, so these are the parameters focussed on in this study. It has therefore been assumed that factors such as wall compliance have remained approximately constant across all measurements.

## 5. CONCLUSION

This study has presented a new protocol for investigating the parameters affecting resonance tuning in soprano singers. Good quality measurements were obtained from a single subject, allowing area functions to be generated and the positions of the vocal tract articulators to be monitored and compared to the vocal tract resonances. Upon analysis, a highly complex interplay between variables was observed; there did not appear to be any clear linear relationship between the parameters extracted from MRI data and measurements of resonance tuning across all vowels.

It is not possible to generalise the results from this single singer, however the different relationships between articulators and resonances observed for the three vowels investigated in this study support the ideas of Bresch et al. [24], who suggested that sopranos might not all employ the same generalisable strategies for resonance tuning, as has been previously thought.

With the increased availability of MRI, it is crucial to understand exactly which measurements are the most relevant when considering resonance tuning in soprano singing. The detailed (three-dimensional) measurements and statistical analysis presented in this paper demonstrate a more rigorous, quantitative approach to this type of data. This study therefore provides a baseline protocol for investigation of soprano singing using 3D MRI, with specific quantitative analyses, in consideration of the female singing voice. In particular, this has implications on future research in terms of generalising findings across vowels, as well as informing the development of more accurate acoustic models of the singing voice.

## 6. ACKNOWLEDGEMENTS

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